

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Scott Fergusson Examiner: Daniel Felten
Serial No.: 09/917,120 Group Art Unit: 3624
Filed: July 27, 2001 Docket No.: 1137.1101101
For: METHODS AND SYSTEMS FOR ASSISTING FINANCIAL SERVICES FIRMS
AND THEIR REPRESENTATIVES

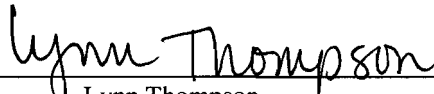
Confirmation No.: 2233

AMENDMENT

Mail Stop Amendment
Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this paper is being electronically transmitted to the United States Patent and Trademark Office on the date shown below.

 March 3, 2006

Lynn Thompson Date

This Amendment is being filed in response to the Official Action of the Examiner mailed December 5, 2005, setting a three-month shortened statutory period for response ending March 5, 2006.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 12 of this paper.